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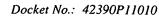
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TRANSMITTAL FORM			Application No.	10/020,143	
(to be used for all correspondence after initial filing)			Filing Date	December 13, 2001	
(to be used for all o	correspondence afte	er initial filing)	First Named Inventor	Alduino	
			Art Unit	3363	
			Examiner Name	Nelson Moskowitz	
Total Number of P	ages in This Submissi	on 7	Attorney Docket Number	42390P11010	
	ENCLO	SURES (chec	k all that apply)		
Fee Transmittal	Form	form Drawing(s)		After Allowance Communication to Group	
Fee Attacl	hed	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Re	esponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final	l declaration(s)	Petition to 0 Provisional	Convert a Application	Proprietary Information	
Extension of Tim	e Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter	
Express Abandonment Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):	
Information Disclosure Statement		Request for Refund		First Class Certificate of Mailing, the stamped return	
PTO/SB/08		CD, Numbe	er of CD(s)	postcard and copies of the six (6) cited references.	
Certified Copy of Document(s)					
Response to Mis Incomplete Appli	sing Parts/ cation	Remarks			
=	Filing Fee				
	ration/POA				
Parts unde 1.52 or 1.5	to Missing er 37 CFR 53				
	SIGNATURI	E OF APPLICAN	NT, ATTORNEY, OR AG	ENT	
Firm <i>or</i>	Brent E. Vecchi	a, Reg. No. 48	3,011		
Individual name	BLAKELY, S	OKOLOFF,	ΓAYLOR & ZAFM	AN LLP	
Signature	Signature Rent E. Veechig				
Date	MAY 14, 20	04			
	CERTIF	CATE OF MAIL	ING/TRANSMISSION		
				Service on the date shown below with ts, P.O. Box 1450, Alexandria, VA	
Typed or printed name Krista Mathieson					

Signature

FEE TRANSMITTAL for FY 2004 Complete if Known Application Number 10/020,143 Filing Date December 13, 2001 Effective 01/01/2004. Patent fees are subject to annual revision. First Named Inventor Alduino Examiner Name Nelson Moskowitz Applicant claims small entity status. See 37 CFR 1.27. Art Unit 3363 TOTAL AMOUNT OF PAYMENT (\$) 42390P11010 Attorney Docket No.

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATI	ON (continue	ed)	
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Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840 *	Requesting publication	n of SIR after		
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Code (\$) Code (\$)	1404	330	2401	165	Notice of Appeal			
1001 770 2001 385 Utility filing fee	1402	330	2402	165	Filing a brief in suppo	rt of an appeal		
1002 340 2002 170 Design filing fee	1403	290	2403	145	Request for oral hear	ing		
1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a	oublic use proceed	ing	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - un	avoidable		
	1453	1,330	2453	665	Petition to revive - uni	intentional		
SUBTOTAL (1) (\$)	1501	1,330	2501	665	Utility issue fee (or re	issue)		
2. EXTRA CLAIM FEES Edita Fee from	1502	480	2502	240	Design issue fee			
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1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional in examined (37 CFR §			
1203 290 2203 145 Multiple Dependent claim, if not paid	1801	770	2801	385	Request for Continue		E)	
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SUBMITTED BY						Comp	lete (if applica	ible)
Name (PrinuType) Brent E. Vecchia		egistratio		4	18,011	Telephone	(303) 74	_
Signature Reut E. Veechig						Date	MA414,2	2004





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ALDUINO

Application No.: 10/020,143

Filed: December 13, 2001

For: Optical Amplifier with Transverse Pump

Art Group: 3363

Examiner: Nelson Moskowitz

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted within three (3) months of filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1-

The references were cited in a Search Report dated November 22, 2002 (copy enclosed herewith) in a counterpart PCT application, which was forwarded to Applicant's Representative in a communication dated December 23, 2003.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Heut E Ventra

Brent E. Vecchia, Reg. No. 48,011

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (303) 740-1980

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Krista Mathieson

Substitute for form 1449A/PTON DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 | **of** | 2

Complete if Known						
	·					
Application Number	10/020,143					
Filing Date	December 13, 2001					
First Named Inventor	Alduino					
Art Unit	3363					
Examiner Name	Nelson Moskowitz					
Attorney Docket Number	42390P11010					

Ĭ	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-5,365,538	11-15-1994	Tumminelli et al.			
		US-5,463,649	10-31-1995	Ashby et al.			
		US-					
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Initials*	No.¹	Country Code* - Number* - Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		'	
		PCT/US 02/37677	11-22-2002	Intel Corporation / PCT Search Report			
	,	FR 2 784 809	04-21-2000	French Patent			
		EP 0 821 451	01-28-1998	Commissariat A L'Energie Atomique			
						L	
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Examiner	:	Date	
Signature		Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO			-	Co	Complete if Known		
INFORMATION DISCLOSURE				Application Number	10/020,143		
				Filing Date	December 13, 2001		
STATEMENT BY APPLICANT			ICANT	First Named Inventor	Alduino		
				Art Unit	3363		
	T	ī	· · · · ·	Examiner Name	Nelson Moskowitz		
Sheet	2	of	2	Attorney Docket Number	42390P11010		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		R.J. Beach, et al. "Continuous-wave and passively Q-switched cladding-pumped planar waveguide lasers". Optics Letters, Optical Society of America, Vol. 26, No. 12. June 15, 2001. Pgs. 881-883 XP-001103481, ISSN: 0146-9592	
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Examiner	Date
Signature	Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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